UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/473,196	12/13/1999	Mark H. Sanders	88-01 C2D1CIRE	9700
30031 7590 02/22/2007 MICHAEL W. HAAS		7	EXAMINER	
RESPIRONICS	•		ISABELLA, DAVID J	
1010 MURRY RIDGE LANE MURRYSVILLE, PA 15668			ART UNIT	PAPER NUMBER
	•		3738	
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MO	NTHS	02/22/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 09/473,196 Examiner DAVID J. ISABELLA pears on the cover sheet with	Applicant(s) SANDERS, MAI Art Unit 3738	RK H.			
-	Examiner DAVID J. ISABELLA	Art Unit	RK H.			
-	DAVID J. ISABELLA	3738				
The MAN DIO DATE of the		I				
	pears on the cover sheet with					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING D.  Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNIC 36(a). In no event, however, may a rejuvill apply and will expire SIX (6) MONT at cause the application to become ABA	ATION.  bly be timely filed  HS from the mailing date of this  NDONED (35 U.S.C. 8 133)				
Status						
<ul> <li>1) Responsive to communication(s) filed on 02 Fe</li> <li>2a) This action is FINAL.</li> <li>2b) This</li> <li>3) Since this application is in condition for alloware closed in accordance with the practice under E</li> </ul>	s action is non-final. nce except for formal matte		he merits is			
Disposition of Claims						
4)  Claim(s) 1-3 and 7-9 is/are pending in the app 4a) Of the above claim(s) is/are withdraw 5)  Claim(s) is/are allowed. 6)  Claim(s) 1-3,7-9 is/are rejected. 7)  Claim(s) is/are objected to. 8)  Claim(s) are subject to restriction and/o	wn from consideration.					
Application Papers						
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) acc Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine 11.	epted or b) cobjected to b drawing(s) be held in abeyand tion is required if the drawing(s	e. See 37 CFR 1.85(a). ) is objected to. See 37	CFR 1.121(d).			
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	Paper No(s)	mmary (PTO-413) Mail Date ormal Patent Application				

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Application/Control Number: 09/473,196 Page 2

Art Unit: 3738

The reissue oath/declaration filed with this application is defective (see 37 CFR 1.324 and MPEP § 1414) because of the following: there is no request to correct inventorship of the patent; and there is no statement from the current named inventors agreeing to the change of inventorship.

- (a) Whenever through error a person is named in an issued patent as the inventor, or through error an inventor is not named in an issued patent and such error arose without any deceptive intention on his or her part, the Director, pursuant to 35 U.S.C. 256, may, on application of all the parties and assignees, or on order of a court before which such matter is called in question, issue a certificate naming only the actual inventor or inventors. A petition to correct inventorship of a patent involved in an interference must comply with the requirements of this section and must be accompanied by a motion under § 41.121(a)(2) or § 41.121(a)(3) of this title.
- (b) Any request to correct inventorship of a patent pursuant to paragraph (a) of this section must be accompanied by:
  - (1) Where one or more persons are being added, a statement from each person who is being added as an inventor that the inventorship error occurred without any deceptive intention on his or her part;
  - (2) A statement from the current named inventors who have not submitted a statement under paragraph (b)(1) of this section either agreeing to the change of inventorship or stating that they have no disagreement in regard to the requested change;
  - (3) A statement from all assignees of the parties submitting a statement under paragraphs (b)(1) and (b)(2) of this section agreeing to the change of inventorship in the patent, which statement must comply with the requirements of § 3.73(b) of this chapter; and
  - (4) The fee set forth in  $\S 1.20(b)$ .

Art Unit: 3738

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(f) he did not himself invent the subject matter sought to be patented.

Claims 1-3 and 7-9 are rejected under 35 U.S.C. 102(f) because the applicant did not invent the claimed subject matter. The subject matter was subject to US patent no. 5343878 to inventors Scarberry, Handke and Sanders. Since claims 1-3 are original and not amended, applicant is now claiming to be the sole inventor of the common subject matter. With respect to claims 7-9, the subject matter is broader than the original claimed invention and therefor appears to fall within the originally claimed invention to Scarberry and Handke.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DAVID J. ISABELLA whose telephone number is 571-272-4749. The examiner can normally be reached on MONDAY-FRIDAY.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, CORRINE MCDERMOTT can be reached on 571-272-4754. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3738

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

DAVID J SABELLA Primary Examiner Art Unit 3738

DJI 2/15/2007